

SEP 08 2006

SIEMENS**FACSIMILE COVER SHEET**In the UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: John M. Davidson

Application No. **09/726,766**

Atty. Docket No: 2001P21477US (020533.0340)

Filed: 11/29/2000

Title: METHOD AND APPARATUS FOR TUNNELED COMMUNICATION IN AN
ENTERPRISE NETWORK

Examiner: Philip C. Lee

Art Unit: 2154

➔ **FACSIMILE ATTN TO: Philip C. Lee****FAX NO.: 571-273-8300****RESPONSE TO NOTIFICATION OF NON-COMPLIANT APPEAL BRIEF**Commissioner for Patents
Alexandria, VA 22313**Certificate of Transmission under 37 CFR 1.8**I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on
September 8, 2006.

Barbara Quinn

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

This facsimile cover sheet which includes a Certificate of Transmission under 37 CFR 1.8 (1 pg)
PTO/SB/21 Transmittal (1 pg)Response to Notification of Non-Compliant Appeal Brief bearing "date mailed" of 08/08/2006
(27 pgs)**Number of pages being transmitted (including this cover sheet): 29 pgs**

The information contained in this facsimile message may contain attorney privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, and return the original message to us. Thank you.

Siemens CorporationLegal and Intellectual Property
Department4400 Alafaya Trail
Orlando Florida 32826Tel: 407-736-2472
FAX: 407-736-6440

SEP. 8. 2006 1:32PM

407-736-6440

SEP 08 2006

NO. 4269 P. 2

PTO/SB/21 (09-04)


Approved for use through 07/31/2006. OMB 0651-0031

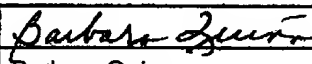
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/726,766
		Filing Date	11/29/2000
		First Named Inventor	John M. Davidson
		Art Unit	2154
		Examiner Name	Phillip C. Lee
Total Number of Pages in This Submission	29	Attorney Docket Number	2001P21477US (020533.0340)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Facsimile Cover Sheet including a certificate of transmission under 37 CFR 1.8
Remarks Response to Notification of Non-Compliant Appeal Brief bearing "date mailed" of 08/08/2006		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	SIEMENS		
Signature			
Printed Name	John P. Musone		
Date	September 8, 2006	Reg. No.	44,961

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Barbara Quinn	Date	September 8, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED
CENTRAL FAX CENTER

SEP 08 2006

SIEMENS

PATENT

Attorney Docket No. 2001P21477US (020533.0340)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Inventor:	John M. Davidson et al.)	
)	Group Art Unit: 2154
Serial No.:	09/726,766)	
)	Examiner: Philip C Lee
Filed:	November 29, 2000)	
Title:	METHOD AND APPARATUS FOR TUNNELED COMMUNICATION IN AN ENTERPRISE NETWORK		

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

AMENDED APPELLANTS BRIEF

This Amended Appeal Brief relates to a Notice of Non-Compliant Appeal Brief mailed August 8, 2006 and an appeal from the final rejection of claims 1, 3-24, 26-33 and 35-45 in the Office Action mailed September 21, 2005. This Amended Appeal Brief wholly replaces Appellant's Appeal Brief previously submitted May 19, 2006.

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

Real Party in Interest

This application is assigned to Efficient Networks, Inc. of Dallas, Texas (currently known as Siemens Subscriber Networks, LLC). Efficient Networks is a wholly owned subsidiary of Siemens Corporation of Iselin, New Jersey.

Related Appeals and Interferences

There are no prior and pending appeals, interferences or judicial proceedings known to Applicants, Applicants' legal representative, or Assignee which may be related to, directly affect or be directly affected by or have a bearing on the Board's decision in this appeal.

Status of Claims

Claims 1, 3-24, 26-33 and 35-45 stand rejected by the Office Action mailed December 21, 2005 and are presently under appeal in this proceeding. No other claims stand rejected, allowed, withdrawn, objected to, or canceled.

Status of Amendments

An Amendment after Final rejection was filed on November 21, 2005. No claims were added, amended, withdrawn or cancelled by the Amendment after Final. In response to the Amendment after Final, the Examiner mailed an Advisory Action on December 21, 2005 entering the Amendment and maintaining the rejections.

Summary of Claimed Subject Matter

Independent Claim 1

Referring to Figures 1 and 2, independent claim 1 recites a method of communicating with an element within an enterprise network 12, comprising:

at a first client 16, 17, encapsulating a first point-to-point protocol signal 124 within a network address request header 114, the first point-to-point protocol signal 124 comprising a point-to-point protocol header 118 (see e.g., page 4 lines 9-12) that includes an identifier of a second client (see e.g., page 26 lines 5-10, and 21-25); and

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

communicating the encapsulated signal 100 toward a tunneling server 32 (see e.g., page 4 lines 2-14).

Dependent Claim 5

Referring to Figure 2, dependent claim 5 recites the method of claim 1, wherein the first point-to-point protocol signal 124 further comprises a payload 120 including information to be applied to an application residing at the second client (see e.g., page 6 lines 9-13, page 32 line 30-page 33 line 5).

Dependent Claim 7

Referring to Figure 2, dependent claim 6 recites the method of claim 1, wherein the first point-to-point protocol signal 124 further comprises a payload 120 including at least a portion of an application to be installed on the second client (see e.g., page 6 lines 9-13, page 32 line 30-page 33 line 5).

Independent Claim 12

Referring to Figures 1 and 2, independent claim 12 recites a computer readable medium operable to execute the following steps on a processor of a computer:

at a first client 16, 17, encapsulating a first point-to-point protocol signal 124 within a network address request header 114, the first point-to-point protocol signal 124 comprising a point-to-point protocol header 118 (see e.g., page 4 lines 19-23) that includes an identifier of a second client (see e.g., page 26 lines 5-10, and 21-25); and

communicating the network address request encapsulated signal 100 toward a tunneling server 32 (see e.g., page 4 lines 23-25).

Dependent Claim 15

Referring to Figure 2, dependent claim 15 recites the computer readable medium of claim 12, wherein the first point-to-point protocol signal 124 further comprises a payload 120 including information to be applied to an application residing at the second client (see e.g., see e.g., page 6 lines 9-13, page 32 line 30-page 33 line 5).

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

Dependent Claim 16

Referring to Figure 2, dependent claim 16 recites the computer readable medium of claim 12, wherein the first point-to-point protocol signal 124 further comprises a payload 120 including at least a portion of an application to be installed on the second client (see e.g., see e.g., page 6 lines 9-13, page 32 line 30-page 33 line 5).

Independent Claim 19

Referring to Figures 1, 2 and 3, independent claim 19 recites a method of tunneling in an enterprise network comprising a plurality of clients coupled 16 to a tunneling server 32 by at least one router 18, the method comprising:

at a first client 16, generating point-to-point protocol signal 124 (see e.g., page 4 line 30);
encapsulating the point-to-point protocol signal 124 within a network address request header 214 (see e.g., page 4 line 30-page 5 line 1);

communicating the encapsulated signal 200 toward a tunneling server operable 32 to identify and remove the network address request header 214, to encapsulate the point-to-point protocol signal 124 within a network address response header 214, and to communicate the encapsulated response signal 300 toward a second client 16, 17 (see e.g., page 5 line 2-8).

Independent Claim 24

Referring to Figures 1, 2, 3 and 4, independent claim 24 recites in an enterprise network comprising at least one client coupled to a tunneling server by a router having a routing table indexed by data channel addresses, a first client comprising:

a protocol stack 40, 52 operable to generate a first point-to-point protocol signal 124 comprising a point-to-point protocol header 118 (see e.g., page 5) that includes an identifier of a second client 16, 17 (see e.g., page 26 lines 5-10, and 21-25); and

a tunneling module 50 operable to encapsulate the first point-to-point encapsulated signal 124 within a network address request header 114, 214 comprising a Dynamic Host Configuration Protocol DISCOVER header or a Bootstrap Protocol REQUEST header(see e.g., page 24 lines 30-33);

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

wherein the first client 16, 17 is operable to communicate the encapsulated signal 100 toward the router 18 for forwarding to the tunneling server 32 without reference to the routing table (see e.g., page 5 lines 16-19).

Independent Claim 33

Referring to Figures 1, 2 and 4, independent claim 33 recites in an enterprise network, a client having an enterprise protocol stack operable to process signals received from a data channel and associated with a data channel address, the client comprising:

a tunneling module 50 operable to receive a first point-to-point protocol signal 124 encapsulated within a network address response header 114, 314 and to remove the network address response header 114 to expose the first point-to-point protocol signal 124, the first point-to-point protocol signal comprising a point-to-point protocol header 118 (see e.g., page 5 lines 20-27), that includes an identifier of a client, the network address response header (see e.g., page 26 lines 5-10, and 21-25); and

a private protocol stack 52 operable to receive the first point-to-point protocol signal 124 from the tunneling module and to communicate at least a portion of a payload 120 of the first point-to-point protocol signal to a socket layer 54 coupled to an application 56 residing at the client (see e.g., page 5 lines 27-32).

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

Grounds for Rejection to be Reviewed

Whether claims 12-18 and 42 are unpatentable under U.S.C. § 101 as containing non-statutory subject matter.

Whether claims 1, 3, 12-13, 19-20, 24, 33, and 37 are unpatentable under U.S.C. § 103 as being anticipated over May (US Patent App. 2001/0030977) in view of Shukla (US Patent App. 2002/0042875) and Araujo et al. (USPN 6,301, 229).

Whether claims 4, 10-11, 14, 18, 21, 23, 28-29, 31-32, 38 and 41-45 are unpatentable under U.S.C. § 103 as being anticipated over May, Shukla, Araujo in view of Inoue et al. (US Patent App. 2002/000741).

Whether claims 5-7, 15-16, 30 and 35-36 are unpatentable under U.S.C. § 103 as being anticipated over May, Shukla, Araujo in view of Singhal et al. (USPN 6,633,761)

Whether claims 8, 17, 22, 26, 27 and 39 are unpatentable under U.S.C. § 103 as being anticipated over May, Shukla, Araujo in view of Zhang (USPN 6,108,345)

Particularly, if:

a) May teaches or suggests encapsulating a first point-to-point signal within a network address header,

b) Shukla teaches or suggests encapsulating a first point-to-point signal within a network address header,

c) Araujo teaches or suggests a tunneling server operable to identify the network address request header, to remove the network address request header, or to encapsulate the point-to-point protocol signal within a network address response header, or

d) Singhal teaches or suggests the first point-to-point protocol signal comprising a payload information information that is applied to an application at the second client or the payload including at least a portion of an application to be installed on the second client.

Serial No. 09/726,766
Atty. Doc. No. 2001P21477US (020533.0340)

Appellants' Argument

A. Applicants' Invention

A network element is a communication or computing device, such as a computer or personal digital assistant. Network elements can communicate message signals with each other via a communication system including routers and tunneling protocols. Routers use a data channel address of the signal's destination address to forward the message. Tunneling protocols provide secure connections between network elements implementing the tunneling protocols. However, several limitations exist regarding with routing and tunneling in these systems.

One such limitation occurs when a network element is connected to the system behind a firewall. A firewall limits access between the network elements by filtering out messages or packets toward the connected network element. This is problematic when the firewall filters out tunneling protocols because filtering out the tunneling protocols prevents the network elements from participating in tunneling. Past solutions to this firewall problem include using a Hypertext Transfer Protocol (HTTP) header to fool the firewall into accepting the packet since it appears to be an HTTP signal.

Another limitation is that network elements without data channel addresses are ineligible to participate in tunneling using conventional tunneling protocols. As previously discussed, a router uses a data channel address to forward the message. The data channel address is a network address for example Internet Protocol (IP) address, which is used to index a route table to obtain information on forwarding the message. The HTTP solution does not solve the limitation of the network elements without data channel address being ineligible to participate in tunneling.

One aspect of Applicants' present invention involves at a first client encapsulating a point-to-point signal within a network address request header, the point-to-point signal comprising a header having an identifier of a second client, and communicating the encapsulated signal toward a tunneling server. The network address request header comprises a message to request a network address. For example, the network address request header comprises a Dynamic Host Configuration Protocol (DHCP) Discover, Bootstrap protocol, or any other message to request a network address. The identifier of the second client identifies the destination client for which the encapsulated packet is intended. This aspect of the invention

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

advantageously allows the first client to communicate a point-to-point signal to a tunneling server even if the tunneling server is behind a firewall since the firewall will interpret the signal as a network address request signal.

Another aspect of the present invention involves encapsulating the first point-to-point protocol signal within a tunneling header prior to encapsulating the first point-to-point protocol signal within the network address request header, the tunneling header operable to facilitate a tunneling session between the first client and the tunneling server. This aspect of the present invention facilitates a tunneling session between the first client and the tunneling server which may be used in future communication.

Another aspect of the present invention involves the identifier comprising a control channel address of the second client, the control channel address being different from any data channel address recognized by the router. As previously discussed, data channel address is a network address used to index the routing table. This advantageously allows the second client to participate in tunneling when it does not have a data channel address.

Another aspect of the present invention involves the tunneling server operable to identify and remove the network address request header, to encapsulate the point-to-point protocol signal within a network address response header, and to communicate the encapsulated response signal toward a second client. In this aspect of the invention the tunneling server relays signals between the first and second client by first recognizing the received signal as one to be relayed. Then the tunneling server removes the network address request header from the point-to-point protocol signal. Next the point-to-point protocol signal is encapsulated in a network address response header and then communicated toward the second client. Thus, by this aspect of the invention, the first and second client may communicate with each other via the tunneling server even if one or both clients are behind a firewall or event if one or both clients do not have a data channel address.

Another aspect of the present invention involves the point-to-point protocol signal including a payload. The payload, for example, having information to be applied at an application at the second client or having a portion of an application to be installed on the second client.

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

B. The Cited Art

a.) May

May teaches a proxy method for dynamically assigning an Internet Protocol (IP) address to a computer configured for operation on a wide area network (WAN) using a local area network (LAN) address assignment format (Abstract). In May, the computer sends a PPP over Ethernet (PPPoE) packet to a modem wherein the modem is a proxy for the computer to request the IP address (see e.g., para. 49 and also Fig. 5). Then, a translator in the modem converts the packets from the PPP format into a DHCP format (see e.g., para 49). Next, the modem sends the DHCP request toward a DHCP server (see e.g., para. 53) and the DHCP server sends a response having the IP address (see e.g., para. 54). Then, the modem sends the IP address to the computer in a PPPoE packet (see e.g., para. 54). Thus, by May's method, a computer can obtain a dynamic IP address via DHCP when the computer is in an environment otherwise not capable of dynamically obtaining an IP address.

c.) Shukla

Shukla teaches how to achieve an end-to-end secure communication over LANs, virtual private networks (VPNs), and in network-to-network connections, wherein compatibility with network address translation (NAT), Internet Control Message Protocol (ICMP), and many quality of service (QoS) protocols is also achieved. (see e.g., para. 40). In Shukla, information in packets is encrypted to provide the secure communication, wherein data packets are encrypted differently than control packets (see e.g., para 41).

b.) Araujo

Araujo teaches improving network performance in a communication system by distributing certain recurring protocol processing functions to a customer premises equipment (CPE) (see e.g., col. 3 lines 11-15). In Araujos' communication system, an end station, such as a CPE, communicates with another end station, such as a remote access server (RAS), via the network. The CPE for example may be a computer. The network, according to Araujo, includes

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

one or more intermediate devices to facilitate the communication, wherein the end station is connected to an intermediate device via a link (see e.g., col 3 lines 48-51).

Araujo teaches establishing according to a PPP a session between the CPE and the RAS via the intermediate device(s). In order to take data from several CPEs and multiplex the data on a single virtual circuit (VC) and to separate the aggregated data from the VC to the separate CPEs, Araujo teaches using a multiplexing scheme, such as, a Layer 2 Tunneling Protocol (L2TP) to establish a L2TP tunnel between the intermediate device and the RAS.

d.) Singhal

Singhal provides a seamless mobility in a short-range wireless networking environment for a mobile device. Singhal includes client devices, handoff management points (HMP), handoff core server(s); and application servers as the major components in the environment (see e.g. col. 3 lines 52-56). Singhal's core server provides services to the HMPs as users roam through the environment (see e.g., col 4 lines 17-21) and maintains information about HMPs that have registered with the core server (see e.g., col 4 lines 25-28, Figures 2, 4, 5). In addition, the core server maintains information about devices communicating via an HMP (see e.g., col 4 lines 25-28, Figure 3).

Singhal teaches that a client can perform a standard DHCP request that will always be handled by the core server and results in the assignment of the same IP address for a device, regardless of which HMP receives the request (see e.g., col. 10, lines 8-13). Thus a device can advantageously have the same IP address as the device roams through the system.

Singhal further teaches that a core management server may be coupled to a plurality of core servers (see e.g., col 14, lines 1-3). The core management server provides services on behalf of the core servers, including monitoring, remote diagnostics, configuration services and other management services (see e.g., col 14, lines 1-12). In order to provide these services the core servers include APIs, which are exposed to the core management server (see e.g., col. 14, lines 26-27).

e.) Inoue

Inoue teaches a packet relay device at a first sub-network for processing a request message for a radio terminal (see e.g., abstract). The first sub-network is connected to the

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

terminal by a downlink communication interface via a first radio base station (see e.g., abstract, Figure 2). The downlink communication interface allows communication to the terminal so that the terminal only receives data via the downlink communication interface (see e.g., abstract). A second sub network is connected to the terminal via a bi-direction communication interface via a second radio base station (see e.g., abstract, Figure 2). The bi-direction communication interface allows communications to and from the terminal. The first sub-network and the second sub-network are connected via a backbone network (see e.g., para.2), such as the Internet (see e.g., para. 47).

Inoue teaches that a request message, such as DHCP, is encapsulated within an IP packet and transferred from the terminal to the packet relay device through the second sub-network (see e.g., para 82), and a response to the request message is transferred from the packet relay device to the radio terminal. (see e.g., abstract). Thus by Inoues' method a first sub-network that otherwise could not access the backbone can have access the backbone via the second sub-network.

e.) Zhang

Zhang teaches an improved device for connecting networks (see e.g., col 2 lines 33-34) wherein a tunneling protocol data package includes a MAC identifier.

Applicants agree with the Examiner's reading of Zhang.

C. Section 101 Rejections of Claims 12-18 and 42

In the Advisory Action mailed December 21, 2005, the Examiner states that claims 12-18 and 42 are unpatentable under 35 U.S.C. § 101 as containing non-statutory subject matter.

The Examiner states that a computer readable medium that includes carrier wave (electromagnetic signal) is non-statutory subject matter. Pursuant to the "Interim Guidelines for Examination of Patent Applications for Subject Matter Eligibility", the Examiner apparently reads Applicants' signal as a carrier wave. However, Applicants claims do not use the term "signal" as a carrier wave, rather, the term signal is used to refer data in a message format (see e.g. Figures 2 and 3, Office Action Response mailed April 8, 2005). Therefore, the rejections of the "Interim Guidelines for Examination of Patent Applications for Subject Matter Eligibility"

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

are inapplicable. Notwithstanding, Applicants would be willing to amend the term "signal" to "message data" or other suitable term in order to overcome the Section 101 rejections.

D. Section 103 Rejections of Independent Claims 1, 12, and 24

In the Office Action mailed September 21, 2005, the Examiner states that independent claims 1, 12, and 24 are unpatentable under 35 U.S.C. § 103 as obvious over May in view of Shukla and in further view of Araujo (para. 7, 9-13, 19-23).

The Examiner asserts that "May teaches converting a first point-to-point protocol signal into a network address request header (para. 49)." Applicants' claim however recites encapsulating a first point-to-point protocol signal within a network address header. Encapsulating is completely different than conversion. Encapsulation appends information onto the packet to encapsulate the packet whereas conversion changes the packet from one format to another format.

The Examiner further asserts that Shukla teaches that packet conversion between protocol layers comprises each protocol layer encapsulating its own header before transmitting to the next layer (para. 3, Figure 5). However, Applicants claim recites encapsulating a first point-to-point protocol signal within a network address request header and not encapsulating a network header within itself or encapsulated a first point-to-point protocol signal within itself. Applicants respectfully note that according to the Examiners citation, paragraph 3 and the Open System Interconnect (OSI) stack disclose that a header is encapsulating the data before transmitting to the next layer and not that a header is encapsulating is own header.

In view of the above, it is respectfully submitted that independent claims 1, 12, and 24 are patentable.

E. Section 103 Rejection of Independent Claim 19

In the Office Action mailed September 21, 2005, the Examiner states that independent claim 19 is unpatentable under 35 U.S.C. § 103 as obvious over May in view of Shukla and in further view of Araujo (para. 7, 14-18).

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

The Examiner asserts that Araujo teaches communicating the encapsulated signal toward a tunneling server operable to identify the protocol header (col. 13, lines 37-47). However, Applicants' claim recites communicating the encapsulated signal (a first point-to-point protocol signal encapsulated within a network address request header) toward a tunneling server operable to identify the network address request header. Applicants respectfully submit that Araujo teaches identifying a tunneling header control frame (see e.g., col. 13, lines 44-46) or data frame (see e.g., col. 13, 48-50), which is used for a tunneling protocol. Applicants' network address request header is used to request a network address wherein a tunneling header is used to supply tunneling information. A tunneling header is completely different than a network address request header.

The Examiner further asserts that Araujo teaches the tunneling server operable to remove the protocol header (col. 13, lines 37-47), to encapsulate the point-to-point protocol signal within a protocol response header and to communicate the encapsulated response signal toward a second client (col. 13, lines 34-36, 48-56). However, Applicants' claim recites a tunneling server operable to remove the network address request header, to encapsulate the point-to-point protocol signal within a network address response header, and to communicate the encapsulated response signal toward a second client. As previously discussed, Applicants' network address request header is not a tunneling header. Furthermore, Applicants respectfully submit that Araujo teaches the tunneling server operable to forward the request signal without removing the tunneling header toward a second client and that the second client will remove the header. Moreover, Applicants respectfully submit that Araujo does not teach that the server operable to encapsulate the point-to-point signal let alone encapsulate the signal in a network address response header or even a response header.

In view of the above, it is respectfully submitted that independent claim 19 is patentable.

F. Section 103 Rejection of Independent Claim 33

In the Office Action mailed September 21, 2005, the Examiner states that independent claim 33 is unpatentable under 35 U.S.C. § 103 as obvious over May in view of Shukla and in further view of Araujo (para 7, 24-29).

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

The Examiner asserts that May teaches a first-point-to-point protocol converted within a network address protocol and that Shukla teaches the packet conversion between protocol layers comprises each protocol layer encapsulating its own header before transmitting to the next layer. In response, Applicants incorporate herein their responses to the Examiner's rejection of claims 1, 12, and 24, and respectfully submit that independent claim 33 is therefore patentable.

G. Section 103 Rejections of Dependent Claims 5 and 15

In the Office Action mailed September 21, 2005, the Examiner states that independent claims are unpatentable under 35 U.S.C. § 103 as obvious over May, Shukla, Araujo and in view of Singhal (para. 39-42).

The Examiner asserts that Singhal teaches the first point-to-point protocol signal further comprises a payload including information that is applied to an application residing at the second client (col. 9, lines 60-62). Applicants' respectfully disagree and submit that Singhal teaches information in the network address request header is applied to the application and not the information in the first point-to-point protocol signal. The first point-to-point protocol signal comprising the information is not one of a mere design choice but one of the aspects of the invention wherein the payload of a point-to-point signal is available to network elements that otherwise could not participate in tunneling.

In view of the above, it is respectfully submitted that dependent claims 5 and 15 are patentable.

H. Section 103 Rejections of Dependent Claims 7 and 16

In the Office Action mailed September, 21, 2005, the Examiner states that independent claims are unpatentable under 35 U.S.C. § 103 as obvious over May in view of Shukla in further view of Araujo and in further view of Singhal (para. 39, 44, 45)

The Examiner asserts that Singhal teaches the first point-to-point protocol signal further comprises a payload including at least a portion of an application to be installed on the second client. Applicants' respectfully disagree and submit Singhal teaches adding an AUL table entry used by the core server application. A table entry is a data repository (see e.g., col. 4 lines 24 -

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

27) wherein an application is executable program. A table entry is completely different than an application.

In view of the above, it is respectfully submitted that dependent claims 7 and 16 are patentable.

Serial No. 09/726,766**Atty. Doc. No. 2001P21477US (020533.0340)****I. Conclusion**

For the foregoing reasons, Applicants respectfully submit that the rejections set forth in the final Office Action are inapplicable to the pending claims. The honorable Board is therefore respectfully requested to reverse the final rejection of the Examiner and the remand the application to the Examiner with instructions to allow the pending claims. Please grant any extensions of time required to enter this paper. Please charge any appropriate fees due in connection with this paper or credit any overpayments to Deposit Account No. 19-2179.

J. Miscellaneous

The Notice of Non-Compliant Appeal Brief objected to reference character 17 for a first client. Applicants are unclear to the Examiners objection and respectfully submit the reference character is correct (see e.g., page 10 line 30, page 17 lines 21-24) and request clarification to the rejection.

Respectfully submitted,

Dated: 9/8/16

By: 

John P. Musone
Registration No. 44,961
(407) 736-6449

Siemens Corporation
Intellectual Property Department
170 Wood Avenue South
Iselin, New Jersey 08830

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

Claims Appendix

1. A method of communicating with an element within an enterprise network, comprising:
at a first client, encapsulating a first point-to-point protocol signal within a network address request header, the first point-to-point protocol signal comprising a point-to-point protocol header that includes an identifier of a second client; and
communicating the encapsulated signal toward a tunneling server.
2. (Canceled)
3. The method of claim 1, wherein communicating the encapsulated signal toward a tunneling server comprises communicating the signal toward a router configured to relay network address requests to the tunneling server without referencing a routing table indexed by data channel addresses.
4. The method of claim 3, wherein the identifier comprises a control channel address of the second client, the control channel address being different from any data channel address recognized by the router.
5. The method of claim 1, wherein the first point-to-point protocol signal further comprises a payload including information to be applied to an application residing at the second client.

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

6. The method of claim 5, wherein the application residing at the second client comprises a maintenance application operable to diagnose operational characteristics of the second client.

7. The method of claim 1, wherein the first point-to-point protocol signal further comprises a payload including at least a portion of an application to be installed on the second client.

8. The method of claim 1, further comprising encapsulating the first point-to-point protocol signal within a tunneling header prior to encapsulating the first point-to-point protocol signal within the network address request header, the tunneling header operable to facilitate a tunneling session between the first client and the tunneling server.

9. The method of claim 8, wherein the tunneling header comprises a tunneling header selected from the group consisting of a Layer Two Tunneling Protocol (L2TP) header, a Point-to-Point Tunneling Protocol (PPTP), or a Layer Two Forwarding (L2F) header.

10. The method of claim 1, further comprising receiving an encapsulated response signal from the tunneling server, the encapsulated response signal comprising a second point-to-point protocol signal responsive to the first point-to-point protocol signal and encapsulated within a network address response header.

11. The method of claim 10, wherein the network address response header comprises a Dynamic Host Configuration Protocol OFFER header or a Bootstrap Protocol RESPONSE header.

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

12. A computer readable medium operable to execute the following steps on a processor of a computer:

at a first client, encapsulating a first point-to-point protocol signal within a network address request header, the first point-to-point protocol signal comprising a point-to-point protocol header that includes an identifier of a second client; and

communicating the network address request encapsulated signal toward a tunneling server.

13. The computer readable medium of claim 12, wherein communicating the encapsulated signal toward a tunneling server comprises communicating the signal toward a router configured to relay network address requests to the tunneling server without referencing a routing table indexed by data channel addresses.

14. The computer readable medium of claim 13, wherein the identifier comprises a control channel address of the second client, the control channel address being different from any data channel address recognized by the router.

15. The computer readable medium of claim 12, wherein the first point-to-point protocol signal further comprises a payload including information to be applied to an application residing at the second client.

16. The computer readable medium of claim 12, wherein the first point-to-point protocol signal further comprises a payload including at least a portion of an application to be installed on the second client.

17. The computer readable medium of claim 12, further comprising encapsulating the first point-to-point protocol signal within a tunneling header prior to encapsulating the first point-to-point protocol signal within the network address request header, the tunneling header operable to facilitate a tunneling session between the first client and the tunneling server.

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

18. The computer readable medium of claim 12, further comprising receiving an encapsulated response signal from the tunneling server, the encapsulated response signal comprising a second point-to-point protocol signal responsive to the first point-to-point protocol signal and encapsulated within a network address response header.

19. A method of tunneling in an enterprise network comprising a plurality of clients coupled to a tunneling server by at least one router, the method comprising:
at a first client, generating point-to-point protocol signal;
encapsulating the point-to-point protocol signal within a network address request header;
communicating the encapsulated signal toward a tunneling server operable to identify and remove the network address request header, to encapsulate the point-to-point protocol signal within a network address response header, and to communicate the encapsulated response signal toward a second client.

20. The method of claim 19, communicating the encapsulated signal toward a tunneling server comprises communicating the signal toward a router operable to relay the signal toward the tunneling server without referencing a routing table indexed by data channel addresses.

21. The method of claim 20, wherein the point-to-point protocol signal comprises a control channel address of a second client, the control channel address being different from any data channel address recognized by any router coupled to the tunneling server.

22. The method of claim 19, further comprising encapsulating the point-to-point protocol signal within a tunneling header prior to encapsulating the point-to-point protocol signal within the network address request header, the tunneling header operable to facilitate a tunneling session between the first client and the tunneling server.

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

23. The method of claim 19, further comprising receiving a response from the second client, the response forwarded from the tunneling server and comprising a point-to-point protocol signal encapsulated within a network address response header.

24. In an enterprise network comprising at least one client coupled to a tunneling server by a router having a routing table indexed by data channel addresses, a first client comprising:

a protocol stack operable to generate a first point-to-point protocol signal comprising a point-to-point protocol header that includes an identifier of a second client; and

a tunneling module operable to encapsulate the first point-to-point encapsulated signal within a network address request header comprising a Dynamic Host Configuration Protocol DISCOVER header or a Bootstrap Protocol REQUEST header;

wherein the first client is operable to communicate the encapsulated signal toward the router for forwarding to the tunneling server without reference to the routing table.

25. (Canceled)

26. The first client of claim 24, wherein the encapsulated signal comprises a tunneling header encapsulating the first point-to-point signal, the tunneling header operable to facilitate a tunneling session between the first client and the tunneling server.

27. The first client of claim 26, wherein the tunneling header comprises a tunneling header selected from the group consisting of a Layer Two Tunneling Protocol (L2TP) header, a Point-to-Point Tunneling Protocol (PPTP), or a Layer Two Forwarding (L2F) header.

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

28. The first client of claim 24, wherein the second client is coupled to the tunneling server and the first point-to-point protocol signal further comprises information to be applied to an application residing at the second client.

29. The first client of claim 28, wherein the identifier of the second client comprises a control channel address of the second client, the control channel address being distinct from any data channel address used to index a routing table accessible to the router.

30. The first client of claim 28, wherein information comprises information to be applied to a maintenance application residing at the second client and operable to diagnose operational characteristics of the second client.

31. The first client of claim 24, wherein the tunneling module is operable to receive a point-to-point protocol signal encapsulated within a network address response header, the encapsulated response signal having been relayed from the tunneling server through the router without reference to a routing table indexed by data channels.

32. The first client of claim 31, wherein the network address response header comprises a DHCP OFFER header or a Bootstrap Protocol RESPONSE header.

33. (Currently Amended) In an enterprise network, a client having an enterprise protocol stack operable to process signals received from a data channel and associated with a data channel address, the client comprising:

a tunneling module operable to receive a first point-to-point protocol signal encapsulated within a network address response header and to remove the network address response header to expose the first point-to-point protocol signal, the first point-to-point protocol signal comprising

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

a point-to-point protocol header that includes an identifier of a client, the network address response header; and

a private protocol stack operable to receive the first point-to-point protocol signal from the tunneling module and to communicate at least a portion of a payload of the first point-to-point protocol signal to a socket layer coupled to an application residing at the client.

34. (Canceled)

35. The client of claim 33, wherein the application comprises a maintenance application operable to diagnose operational characteristics of the client.

36. The client of claim 33, wherein the application comprises an application operable to process the at least a portion of the payload and to generate an output to be communicated toward another network element.

37. The client of claim 33, wherein: the private protocol stack is operable to generate a second point-to-point protocol signal comprising a point-to-point protocol header that includes an identifier of a destination network element and a payload carrying at least a portion of the output; and

wherein the tunneling module is operable to encapsulate the second point-to-point signal within a network address request header and communicate the network address request encapsulated signal to a router for relaying toward the destination network element without reference to a routing table indexed by data channel addresses.

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

38. The client of claim 37, wherein the network address request header comprises a Dynamic Host Configuration Protocol DISCOVER header or a Bootstrap Protocol REQUEST header.

39. The client of claim 33, wherein the first point-to-point protocol signal is encapsulated within a tunneling header and further encapsulated within the network address response header, and wherein the tunneling module is operable to process the tunneling header to maintain a tunneling session between the client and a tunneling server.

40. The client of claim 39, wherein the tunneling header comprises a tunneling header selected from the group consisting of a Layer Two Tunneling Protocol (L2TP) header, a Point-to-Point Tunneling Protocol (PPTP), or a Layer Two Forwarding (L2F) header.

41. The method of Claim 1, wherein the identifier comprises a host name, IP address, or MAC address of the second client, the host name, IP address, or MAC address being different from any host name, IP address, or MAC address recognized by the router.

42. The computer readable medium of Claim 12, wherein the identifier comprises a host name, IP address, or MAC address of the second client, the host name, IP address, or MAC address being different from any host name, IP address, or MAC address recognized by the router.

43. The first client of Claim 24, wherein the identifier comprises a host name, IP address, or MAC address of the second client, the host name, IP address, or MAC address being different from any host name, IP address, or MAC address recognized by the router.

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

44. The client of Claim 33, wherein the identifier comprises a control channel address of the client, the control channel address being different from any data channel address recognized by the router.

45. The client of Claim 33, wherein the identifier comprises a host name, IP address, or MAC address of the client, the host name, IP address, or MAC address being different from any host name, IP address, or MAC address recognized by the router.

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

Evidence Appendix

None

Serial No. 09/726,766

Atty. Doc. No. 2001P21477US (020533.0340)

Related Proceedings Appendix

None